

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TSUBATA, T. et al.

Serial No. 10/717,917

Filed: November 21, 2003

For: DISPLAY DEVICE SUBSTRATE AND LIQUID CRYSTAL  
DISPLAY DEVICE HAVING THE SAME



Atty. Ref.: 1035-482

Group: 2629

Examiner: Sherman, S.G.

\* \* \* \* \*

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- ☐ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action] *N/C*
- ☐ 2. **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] *N/C*
- ☐ 3. **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] *\$180.00*
- ☒ 4. **Pursuant to 37 CFR 1.97(d)**  
[before Issue Fee payment] *\$180.00*

The following are submitted in the above-identified application in compliance with 37  
C.F.R. §§ 1.97 and 1.98:

- ☒ 5. A list of documents on Form PTO-1449 together with copies of each  
identified document and a translation or a concise explanation of each non-  
English language document is enclosed herewith. U.S. Patents and  
Publications are not required and are not attached.
- ☒ a) The English translation is provided by the Industrial Property Digital Library  
(IPDL) of the Japan Patent Office (JPO). U.S. 5,976,734 corresponds to the JP  
11-52353 reference.

This paper is submitted in accordance with:

09/05/2008 AWONDAF1 00000136 10717917


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180.00 DP

- ☐ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☒ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☒ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☒ b) The required Statement is stated in item 9 below.
- ☒ 9. Statement under 37 CFR 1.97(e)
- ☒ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a Japanese Office Action mailed July 22, 2008 from the Japanese Patent Office in counterpart Japanese application 2003-372584 not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,  
NIXON & VANDERHYE P.C.

September 4, 2008

By: 

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